

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 1 048 686 A2

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:

02.11.2000 Bulletin 2000/44

(51) Int. Cl.⁷: **C08G 77/46, C08G 77/50,
A61K 7/06, A61K 7/48**

(21) Application number: **00302192.0**

(22) Date of filing: **17.03.2000**

(84) Designated Contracting States:

**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**

Designated Extension States:

AL LT LV MK RO SI

(30) Priority: **28.04.1999 US 299864**

(71) Applicant:

**DOW CORNING CORPORATION
Midland Michigan 48686-0994 (US)**

(72) Inventors:

- **Lin, Zuchen**
Midland, Michigan 48640 (US)
- **Schulz, William James jr.**
Midland, Michigan 48642 (US)
- **Smith, Janet Mary**
Bay City, Michigan 48706 (US)

(74) Representative: **Kyle, Diana**

**Elkington and Fife
Prospect House
8 Pembroke Road
Sevenoaks, Kent TN13 1XR (GB)**

(54) **Elastomeric silicone terpolymer.**

(57) Three-dimensional silicone elastomers are disclosed having both polyether and higher alkyl functionality present in their molecules are in the form of networks of crosslinked linear siloxanes with polyether and olefin containing repeating units. Representative units are EO₇ and EO₁₂ for the polyether functionality and C₁₀, C₁₂ and C₁₈ alkyl functionality for the olefin. These gelled molecules are multipurpose type materials and consequently they are capable of being useful in a variety of multiphased formulations in personal and health care applications.

EP 1 048 686 A2

Description

[0001] This invention provides elastomeric polyorganosiloxanes containing four different types of difunctional "D" units $R_2SiO_{2/2}$. In particular, the elastomeric polyorganosiloxanes according to the invention are terpolymers with (i) dimethyl, (ii) higher alkyl, and (iii) polyether containing "D" units, including (iv) crosslinking "D" units.

[0002] These elastomeric silicone terpolymers constitute polymeric molecules which are crosslinked together to such an extent that the material gels. The elastomeric gelled material is in the form of a molecular polymeric network which literally consists of tens, hundreds, and even thousands of crosslinking units derived from the $=SiH$ containing polysiloxane precursor, between and among the many polymeric molecules themselves.

[0003] Thus, our gelled material is not the same as the two-dimensional organopolysiloxane-polyoxyalkylenes described in U.S. Patents 4,853,474 and 5,136,068. The specific intent and purpose expressed in both U.S. 4,853,474 and 5,136,068 is to "avoid gelling".

[0004] As a consequence, the materials according to our present invention are capable of performing functions beyond those of the organopolysiloxane-polyoxyalkylenes of U.S. 4,853,474 and 5,136,068. For example, the crosslinked three-dimensional gelled elastomeric silicone terpolymeric networks of our invention can compatibilize organic oils and silicone oils, and they can be used to thicken organic oils and silicone oils.

[0005] They can also be used in the preparation of water-in-silicone oil emulsions, as well as in the preparation of diol containing emulsions such as propylene glycol-in-silicone oil emulsions. Additionally, they can be used in the preparation of water-in-organic oil emulsions containing both non-polar and polar oils. In this application, the interfacial tension (IFT) of the polar oil can be as low as 4.8 mN/m. Further, they are capable of solubilizing and entrapping oil-soluble active ingredients such as vitamin A and vitamin E for delivery of these types of active ingredients to a substrate such as human skin. If desired, the crosslinked three-dimensional elastomeric network containing the active ingredient can then be used for preparing a water-in-oil emulsion including the active ingredient.

[0006] Finally, these materials can be used to form a (i) water-in-oil-in-water multiple emulsion, or (ii) diol containing emulsions such as propylene glycol-in-oil-in-propylene glycol multiple emulsions. These multiple or triple emulsions can in turn be used for the purpose of formulating polar actives such as vitamin C, as well as for the delivery of α -hydroxy acids such as glycolic acid, lactic acid, tartaric acid and citric acid, i.e., fruit acids. In this latter instance, significant benefits can be realized as fruit acids have been alleged to be capable of diminishing fine skin lines and pigmentation spots, as well as stimulating collagen which allows the skin to repair itself.

[0007] As used herein, the term diol is intended to include dihydroxy alcohols containing 2-10 carbon atoms such as ethylene glycol, propylene glycol and trimethylene glycol, for example.

[0008] Our invention provides a composition which comprises an elastomeric silicone terpolymer in the form of a three-dimensional gelled network prepared by combining and reacting

(A) an $=Si-H$ containing polysiloxane and (B) a mono-alkenyl polyether in the presence of a platinum catalyst until an $=Si-H$ containing polysiloxane with polyether groups is formed; reacting (C) the $=Si-H$ containing polysiloxane with polyether groups and (D) an α -olefin containing at least ten carbon atoms in the presence of a platinum catalyst until an $=Si-H$ containing polysiloxane with polyether and alkyl groups containing ten or more carbon atoms is formed; and reacting (E) the $=Si-H$ containing polysiloxane with polyether and alkyl groups containing ten or more carbon atoms and (F) an α,ω -unsaturated hydrocarbon such as an α,ω -diene, α,ω -diyne, or an α,ω -ene-yne in the presence of (G) an oil and a platinum catalyst until a silicone elastomer is formed by crosslinking and addition of $=SiH$ across double or triple bonds in the α,ω -unsaturated hydrocarbon. The reaction is allowed to continue until there is formed a crosslinked three-dimensional gelled network of an elastomeric silicone terpolymer.

[0009] Our invention also provides materials comprising this composition in combination with oil-soluble as well as water-soluble active ingredients.

[0010] Our invention further teaches emulsions and multiple emulsions containing the composition.

[0011] In this regard, it is noted that multiple emulsions are composed of droplets of one liquid dispersed in larger droplets of a second liquid which are then dispersed in a final continuous phase. Generally, the internal droplet phase will be miscible with or identical to the final continuous phase. For example, in a water-in-oil-in-water multiple emulsion W/O/W, the internal and external phases are aqueous.

[0012] For a W/O/W system, in which the final continuous phase is aqueous, the primary emulsion is a water-in-oil emulsion W/O, which is then emulsified into the final aqueous phase.

[0013] For the purpose of clarity, and according to recognized standards of nomenclature used for W/O/W systems, the aqueous phase of the primary emulsion is designated as W_1 , and the primary emulsion is designated as W_1/O . The primary emulsion W_1/O includes an oil phase which is designated as O. After the primary emulsion W_1/O has been further dispersed in the second aqueous phase designated as W_2 , the complete multiple emulsion system is designated as $W_1/O/W_2$.

[0014] According to our invention, an elastomeric silicone terpolymer can be prepared and used as depicted in one or more of the several processing steps shown below:

Step 1:

Incorporation of the Polyether $\equiv\text{SiH}$ siloxane + mono-alkenyl polyether + Pt catalyst \rightarrow $\equiv\text{SiH}$ siloxane with polyether groups

Step 1':

Incorporation of the α -Olefin $\equiv\text{SiH}$ siloxane with polyether groups + α -olefin $\xrightarrow{\text{Pt}}$ $\equiv\text{SiH}$ siloxane with polyether and higher alkyl groups

Step 2:

Gelation $\equiv\text{SiH}$ siloxane with polyether and higher alkyl groups + $\equiv\text{SiH}$ siloxane (optional) + α,ω -unsaturated hydrocarbon + oil + Pt catalyst \rightarrow gel/elastomer

Step 3:

Shearing & Swelling - Optional gel/elastomer + oil + quencher + active ingredient \rightarrow paste

Step 4:

Emulsification/Preparation of Primary Emulsion - Optional silicone gel/elastomer/paste + H_2O + active ingredient + shear \rightarrow primary emulsion W_1/O

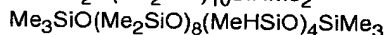
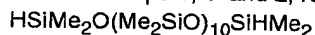
Step 5:

Preparation of Multiple Emulsion $W_1/O/W_2$ - Optional W_1/O + H_2O + shear \rightarrow $W_1/O/W_2$ multiple emulsion.

[0015] In Step 1, the molar ratio of the mono-alkenyl polyether to the $\equiv\text{SiH}$ in the $\equiv\text{SiH}$ siloxane should be between 0.9:1 to 1:100. Step 1 and Step 1' can be reversed, in which case, the molar ratio of the α -olefin to the $\equiv\text{SiH}$ in the $\equiv\text{SiH}$ siloxane should also be between 0.9:1 to 1:100.

[0016] In Step 2, the weight ratio of the oil to the weight of the $\equiv\text{SiH}$ siloxane with polyether and higher alkyl groups and the α,ω -unsaturated hydrocarbon can be from 1:1 to 98:1, but preferably is between 5:1 to 15:1. The ratio of the $\equiv\text{SiH}$ in the $\equiv\text{SiH}$ containing siloxane with polyether and higher alkyl groups and the α,ω -unsaturated hydrocarbon can be from 2:1 to 1:2, but preferably is 1:1.

[0017] Many types of compounds can be used to prepare elastomeric silicone terpolymers according to the method shown in Steps 1, 1' and 2, representative of which are;



[0018] In these formulas, Me is methyl, G represents the group $-\text{CH}_2\text{CH}_2\text{CH}_2\text{O}(\text{CH}_2\text{CH}_2\text{O})_{10}\text{H}$ and G' is a higher alkyl radical containing ten or more carbon atoms, preferably twelve or more carbon atoms, and most preferably sixteen or more carbon atoms.

[0019] In optional Step 3, the silicone paste should contain 60-98 percent by weight of the oil. In Steps 4 and 5, the weight ratio of water to the silicone paste can be 95:5 to 5:95.

[0020] If desired, post cure caused by residual crosslinking hydrosilylation reactions occurring in silicone elastomers can be terminated by introducing an $\equiv\text{SiH}$ quenching agent such as a vinylsiloxane or a vinylsilane. While vinylsiloxanes and/or vinylsilanes can be used to completely terminate post cure, vinylsiloxanes are preferred to react with $\equiv\text{SiH}$ over other types of alkenylsiloxanes. In the process of making compositions according to the present invention, a vinylsiloxane can be introduced at the shear & swell Step 3. When this is carried out, any on-going reactions of residual functionalities will be shifted to reactions between the incoming vinylsiloxane and the residual $\equiv\text{SiH}$, with the result that crosslinking reactions will be terminated.

[0021] Representative of some organosilicon compounds and polymers which can be used as quenching agents are silanes such as vinyl-t-butyl dimethylsilane, vinyl diethyl methylsilane, vinyl ethyl dimethylsilane, vinyl triethylsilane, vinyl trimethylsilane, divinyl dimethylsilane, divinyl tetramethylsilane; and siloxanes such as vinyl pentamethyl disiloxane, 1,3-divinyl tetramethyl disiloxane, a vinyl trisiloxane such as $(\text{CH}_3)_3\text{SiOSi}(\text{CH}=\text{CH}_2)(\text{CH}_3)_3\text{OSi}(\text{CH}_3)_3$, 1,5-divinyl hexame-

thyl-trisiloxane and a divinylsiloxane oligomer having an average structure $(\text{CH}_2=\text{CH})\text{Me}_2\text{SiO}(\text{Me}_2\text{SiO})_8\text{SiMe}_2(\text{CH}=\text{CH}_2)$.

[0022] Other types of quenching agents can also be used such as strong platinum complexing ligands, terminal alkynes and amino acid esters. Representative ligands include trialkyl and triaryl phosphines such as triphenylphosphine PPh_3 ; amines, diamines and triamines such as n-butylamine $\text{CH}_3(\text{CH}_2)_3\text{NH}_2$, triethanolamine $(\text{HOCH}_2\text{CH}_2)_3\text{N}$ and tetramethylethylenediamine $(\text{CH}_3)_2\text{NCH}_2\text{CH}_2\text{N}(\text{CH}_3)_2$; and organic sulfides such as ethyl phenyl sulfide $\text{C}_6\text{H}_5\text{SC}_2\text{H}_5$.

[0023] Some examples of suitable terminal alkynes which can be used are acetylene, propyne, 1-butyne, 1-pentyne, 4,4-dimethyl-1-pentyne, 1-hexyne, 5-methyl-1-hexyne and 1-decyne.

[0024] In addition, the quenching agent can be an amino acid ester, preferably a sulfur containing amino acid ester, such as methionine methyl ester, methionine ethyl ester, cysteine methyl ester, cysteine ethyl ester and cystine dimethyl ester.

[0025] The feature of using quenching agents to terminate post cure is the subject matter of U.S. 5,929,164 and 5,977,280.

[0026] The =Si-H siloxane in Step 1 is represented by compounds of the formula $\text{R}_3\text{SiO}(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b\text{SiR}_3$, compounds of the formula $\text{HR}_2\text{SiO}(\text{R}'_2\text{SiO})_c\text{SiR}_2\text{H}$, or compounds of the formula $\text{HR}_2\text{SiO}(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b\text{SiR}_2\text{H}$. Mixtures of these types of compounds can also be employed. In the three formulas, R, R' and R'' are alkyl groups with 1-6 carbon atoms; a is 0-250; b is 1-250 and c is 0-250.

[0027] The =Si-H containing polysiloxane can also comprise an alkylhydrogen cyclosiloxane or an alkylhydrogen-dialkyl cyclosiloxane copolymer, represented in general by the formula $(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b$, where R' and R'' are as defined above and where a' is 0-7 and b' is 3-10. Some representative compounds of these types are $(\text{OSiMeH})_4$, $(\text{OSiMeH})_3(\text{OSiMeC}_6\text{H}_{13})$, $(\text{OSiMeH})_2(\text{OSiMeC}_6\text{H}_{13})_2$ and $(\text{OSiMeH})(\text{OSiMeC}_6\text{H}_{13})_3$, where Me represents $-\text{CH}_3$.

[0028] An α,ω -unsaturated hydrocarbon is used in Step 2, and the most preferred α,ω -unsaturated hydrocarbon is an α,ω -diene of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_d\text{CH}=\text{CH}_2$ where d is 1-20. Some representative examples of suitable α,ω -dienes for use herein are 1,4-pentadiene; 1,5-hexadiene; 1,6-heptadiene; 1,7-octadiene; 1,8-nonadiene; 1,9-decadiene; 1,11-dodecadiene; 1,13-tetradecadiene and 1,19-eicosadiene.

[0029] However, other α,ω -unsaturated hydrocarbons can be used such as α,ω -diynes of the formula $\text{CH}=\text{C}(\text{CH}_2)_e\text{C}=\text{CH}$; or α,ω -ene-yne of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_e\text{C}=\text{CH}$ where e is 0-20. Some representative examples of suitable α,ω -diynes for use herein are 1,3-butadiyne $\text{HC}=\text{C}-\text{C}=\text{CH}$ and 1,5-hexadiyne (dipropargyl) $\text{HC}=\text{C}-\text{CH}_2\text{CH}_2-\text{C}=\text{CH}$. One example of a suitable α,ω -ene-yne for use herein is hexene-5-yne-1 $\text{CH}_2=\text{CHCH}_2\text{CH}_2\text{C}=\text{CH}$.

[0030] The reactions in Steps 1, 1', 2 requires a catalyst to effect the reaction between the =SiH containing siloxane, the mono-alkenyl polyether, the α -olefin and the α,ω -unsaturated hydrocarbon. Suitable catalysts are Group VIII transition metals, i.e., the noble metals. Such noble metal catalysts are described in U.S. Patent 3,923,705. A particularly preferred catalyst is described in Karstedt's U.S. Patents 3,715,334 and 3,814,730. Karstedt's catalyst is a platinum divinyl tetramethyl disiloxane complex, typically containing one weight percent of platinum, carried in a polydimethylsiloxane fluid or in a solvent such as toluene.

[0031] The particular catalyst used in the examples was 20 μl and 200 μl portions of Karstedt's catalyst as one weight percent of platinum carried in a 2.0 mm^2/s polydimethylsiloxane fluid. Another preferred platinum catalyst is a reaction product of chloroplatinic acid and an organosilicon compound containing terminal aliphatic unsaturation. It is described in US Patent 3,419,593. The noble metal catalysts are used in amounts of from 0.00001-0.5 parts per million of noble metal per 100 weight parts of =SiH containing polysiloxane, preferably 0.00001-0.02 parts per million of noble metal, most preferably 0.00001-0.002 parts per million of noble metal.

[0032] The mono-alkenyl polyether is a compound of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_f\text{O}(\text{CH}_2\text{CH}_2\text{O})_g(\text{CH}_2\text{CH}_2\text{CHO})_h\text{T}$, or a compound of the formula $\text{CH}_2=\text{CH}-\text{Q}-\text{O}(\text{CH}_2\text{CH}_2\text{O})_g(\text{CH}_2\text{CH}_2\text{CHO})_h\text{T}$. In the formulas, T represents an end group which can be a hydrogen atom; a C1-C10 alkyl group such as methyl, ethyl, propyl, butyl and decyl; an aryl group such as phenyl; or a C1-C20 acyl group such as acetyl, propionyl, butyryl, lauroyl, myristoyl and stearoyl. Q is a divalent linking group containing unsaturation such as phenylene $-\text{C}_6\text{H}_4-$. The value of f is 0-6; g has a value of 4-100; and h can be zero or have a value of 1-100.

[0033] When our invention is used to deliver vitamin A derivatives as an active ingredient, g should preferably have a value of at least 7, i.e. 7-100, rather than 4-100.

[0034] The α -olefin is a compound of the formula $\text{CH}_2=\text{CHR}'''$ where R''' is a higher alkyl group containing 8-40 carbon atoms. Some representative examples of suitable α -olefins for use herein are 1-decene (C_{10}), 1-undecene, 1-dodecene, 1-tridecene, 1-tetradecene, 1-pentadecene (C_{15}), 1-hexadecene, 1-octadecene, 1-nonadecene, 1-eicosene (C_{20}), 1-heptacosene and α -olefin fractions containing various amounts of $\text{C}_{22}-\text{C}_{30+}$ α -olefins sold under the trademark GULFTENE[®] 24-28 and GULFTENE[®] 30+ by the Chevron Chemical Company, Houston, Texas.

[0035] The term oil as used herein includes compounds containing a silicon atom such as low molecular weight linear and cyclic volatile and non-volatile alkyl and aryl siloxanes and low molecular weight functional linear and cyclic siloxanes. Most preferred, however, are low molecular weight linear and cyclic volatile methyl siloxanes. Thus, this par-

ticular component constitutes what is shown as the "oil" in Step 2 of the process illustrated above.

[0036] Volatile methyl siloxanes correspond to the average unit formula $(\text{CH}_3)_j\text{SiO}_{(4-j)/2}$ in which j has an average value of two to three. The compounds contain siloxane units joined by Si-O-Si bonds. Representative units are monofunctional "M" units $(\text{CH}_3)_3\text{SiO}_{1/2}$ and difunctional "D" units $(\text{CH}_3)_2\text{SiO}_{2/2}$.

[0037] The presence of trifunctional "T" units $\text{CH}_3\text{SiO}_{3/2}$ results in the formation of branched linear or cyclic volatile methyl siloxanes. The presence of tetrafunctional "Q" units $\text{SiO}_{4/2}$ results in the formation of branched linear or cyclic volatile methyl siloxanes.

[0038] Linear volatile methyl siloxanes have the formula $(\text{CH}_3)_3\text{SiO}\{(\text{CH}_3)_2\text{SiO}\}_k\text{Si}(\text{CH}_3)_3$. The value of k is 0-5.

Cyclic volatile methyl siloxanes have the formula $\{(\text{CH}_3)_2\text{SiO}\}_m$. The value of m is 3-9. Preferably, these volatile methyl siloxane have a boiling point less than 250°C . and viscosity of 0.65 to $5.0 \text{ mm}^2/\text{s}$.

[0039] Representative linear volatile methyl siloxanes are hexamethyldisiloxane (MM) with a boiling point of 100°C ., viscosity of $0.65 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiOSiMe}_3$; octamethyltrisiloxane (MDM) with a boiling point of 152°C ., viscosity of $1.04 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiOMe}_2\text{SiOSiMe}_3$; decamethyltetrasiloxane (MD_2M) with a boiling point of 194°C ., viscosity of $1.53 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_2\text{SiMe}_3$; dodecamethylpentasiloxane (MD_3M) with a boiling point of 229°C ., viscosity of $2.06 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_3\text{SiMe}_3$; tetradecamethylhexasiloxane (MD_4M) with a boiling point of 245°C ., viscosity of $2.63 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_4\text{SiMe}_3$; and hexadecamethylheptasiloxane (MD_5M) with a boiling point of 270°C ., viscosity of $3.24 \text{ mm}^2/\text{s}$, and formula $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_5\text{SiMe}_3$.

[0040] Representative cyclic volatile methyl siloxanes are hexamethylcyclotrisiloxane (D_3) a solid with a boiling point of 134°C . and formula $\{(\text{Me}_2)\text{SiO}\}_3$; octamethylcyclotetrasiloxane (D_4) with a boiling point of 176°C ., viscosity of $2.3 \text{ mm}^2/\text{s}$, and formula $\{(\text{Me}_2)\text{SiO}\}_4$; decamethylcyclopentasiloxane (D_5) with a boiling point of 210°C ., viscosity of $3.87 \text{ mm}^2/\text{s}$, and formula $\{(\text{Me}_2)\text{SiO}\}_5$; and dodecamethylcyclohexasiloxane (D_6) with a boiling point of 245°C ., viscosity of $6.62 \text{ mm}^2/\text{s}$, and formula $\{(\text{Me}_2)\text{SiO}\}_6$.

[0041] Representative branched volatile methyl siloxanes are heptamethyl-3-((trimethylsilyl)oxy)-trisiloxane (M_3T) with a boiling point of 192°C ., viscosity of $1.57 \text{ mm}^2/\text{s}$, and formula $\text{C}_{10}\text{H}_{30}\text{O}_3\text{Si}_4$; hexamethyl-3,3-bis((trimethylsilyl)oxy) trisiloxane (M_4Q) with a boiling point of 222°C ., viscosity of $2.86 \text{ mm}^2/\text{s}$, and formula $\text{C}_{12}\text{H}_{36}\text{O}_4\text{Si}_5$; and pentamethyl((trimethylsilyl)oxy) cyclotrisiloxane (MD_3) with the formula $\text{C}_8\text{H}_{24}\text{O}_4\text{Si}_4$.

[0042] The invention also includes using low molecular weight linear and cyclic non-volatile alkyl and aryl siloxanes represented respectively by the formulas $\text{R}^a_3\text{SiO}(\text{R}^a_2\text{SiO})_n\text{SiR}^a_3$ and $(\text{R}^a_2\text{SiO})_p$. R^a can be an alkyl group with 1-20 carbon atoms or an aryl group such as phenyl. The value of n is 0-80, preferably 5-20. The value of p is 3-9, preferably 4-6. These polysiloxanes generally have a viscosity in the range of $5\text{--}100 \text{ mm}^2/\text{s}$.

[0043] Polysiloxanes can also be used where n has a value sufficient to provide siloxane polymers with a viscosity in the range of $100\text{--}1,000 \text{ mm}^2/\text{sec}$. Typically, n can be 80-375. Illustrative of such polysiloxanes are polydimethylsiloxane, polydiethylsiloxane, polymethylethylsiloxane, polymethylphenylsiloxane and polydiphenylsiloxane.

[0044] Low molecular weight functional polysiloxanes can also be employed and are represented by the formula $\text{R}^b_3\text{SiO}(\text{R}^b\text{YSiO})_n\text{SiR}^b_3$ where R^b can be alkyl groups with 1-20 carbon atoms or aryl groups such as phenyl, Y is a functional group and n is 0-80. Examples of such functional polysiloxanes containing functional groups represented by Y are acrylamide functional siloxane fluids, acrylate functional siloxane fluids, amide functional siloxane fluids, amino functional siloxane fluids, carbinol functional siloxane fluids, carboxy functional siloxane fluids, chloroalkyl functional siloxane fluids, epoxy functional siloxane fluids, glycol functional siloxane fluids, ketal functional siloxane fluids, mercapto functional siloxane fluids, methyl ester functional siloxane fluids, perfluoro functional siloxane fluids, polyisobutylene (PIB) functional siloxane fluids, silanol functional siloxanes and vinyl functional siloxane fluids.

[0045] Our invention is not limited to using only low molecular weight siloxanes. Other types of oils can be used in Step 2 of the process. Thus, an oil or mixture of oils may be used.

[0046] The term oil is therefore further intended to include (i) organic compounds, (ii) compounds containing a silicon atom as enumerated above, (iii) mixtures of organic compounds, (iv) mixtures of compounds containing a silicon atom, or (v) mixtures of organic compounds and compounds containing a silicon atom; used on an industrial scale to dissolve, suspend or change the physical properties of other materials.

[0047] In general, the organic compounds used as oils are aromatic hydrocarbons, aliphatic hydrocarbons, alcohols, aldehydes, ketones, amines, esters, ethers, glycols, glycol ethers, alkyl halides or aromatic halides. Representative compounds are alcohols such as methanol, ethanol, 1-propanol, cyclohexanol, benzyl alcohol, 2-octanol, ethylene glycol, propylene glycol and glycerol; aliphatic hydrocarbons such as pentane, cyclohexane, heptane, Varnish Maker's & Painter's (VM&P) solvent and mineral spirits; alkyl halides such as chloroform, carbon tetrachloride, perchloroethylene, ethyl chloride and chlorobenzene; aromatic hydrocarbons such as benzene, toluene, ethylbenzene and xylene; esters such as ethyl acetate, isopropyl acetate, ethyl acetoacetate, amyl acetate, isobutyl isobutyrate, benzyl acetate and isopropyl palmitate; ethers such as ethyl ether, n-butyl ether, tetrahydrofuran and 1,4-dioxane; glycol ethers such as ethylene glycol monomethyl ether, ethylene glycol monomethyl ether acetate, diethylene glycol monobutyl ether and propylene glycol monophenyl ether; ketones such as acetone, methyl ethyl ketone, cyclohexanone, diacetone alcohol, methyl amyl ketone and diisobutyl ketone; petroleum hydrocarbons such as petroleum jelly, mineral oil, gasoline, naph-

tha, kerosene, gas oil, heavy oil and crude oil; lubricating oils such as spindle oil and turbine oil; and fatty oils such as corn oil, soybean oil, olive oil, rape seed oil, cotton seed oil, sardine oil, herring oil and whale oil.

[0048] Other miscellaneous organic oils can also be used such as acetonitrile, nitromethane, dimethylformamide, propylene oxide, trioctyl phosphate, butyrolactone, furfural, pine oil, turpentine and m-cresol.

[0049] Further intended to be included in the term oil are volatile flavoring agents such as oil of wintergreen; peppermint oil; spearmint oil; menthol; vanilla; cinnamon oil; clove oil; bay oil; anise oil; eucalyptus oil; thyme oil; cedar leaf oil; oil of nutmeg; oil of sage; cassia oil; cocoa; licorice; high fructose corn syrup; citrus oils such as lemon, orange, lime and grapefruit; fruit essences such as apple, pear, peach, grape, strawberry, raspberry, cherry, plum, pineapple and apricot; and other useful flavoring agents including aldehydes and esters such as cinnamyl acetate, cinnamaldehyde, eugenyl formate, p-methylanisole, acetaldehyde, benzaldehyde, anisic aldehyde, citral, neral, decanal, vanillin, tolyl aldehyde, 2,6-dimethyloctanal and 2-ethyl butyraldehyde.

[0050] In addition, the term oil is intended to include volatile fragrances such as natural products and perfume oils. Some representative natural products and perfume oils are ambergris, benzoin, civet, clove, leaf oil, jasmine, mate, mimosa, musk, myrrh, orris, sandalwood oil and vetivert oil; aroma chemicals such as amyl salicylate, amyl cinnamic aldehyde, benzyl acetate, citronellol, coumarin, geraniol, isobornyl acetate, ambrette and terpinyl acetate; and the various classic family perfume oils such as the floral bouquet family, the oriental family, the chypre family, the woody family, the citrus family, the canoe family, the leather family, the spice family and the herbal family.

[0051] Useful active ingredients for use in Steps 3 and 4 of processes according to the invention include both fat or oil-soluble vitamins as well as water-soluble vitamins. Oil-soluble vitamins useful herein include, but are not limited to, Vitamin A₁, RETINOL, C₂-C₁₈ esters of RETINOL, vitamin E, TOCOPHEROL, esters of vitamin E and mixtures thereof. RETINOL includes trans-RETINOL, 13-cis-RETINOL, 11-cis-RETINOL, 9-cis-RETINOL and 3,4-didehydro-RETINOL. The oil-soluble vitamin can be used in the composition according to the invention in amounts of from 0.01 to 50 percent by weight.

[0052] RETINOL, it should be noted, is an International Nomenclature Cosmetic Ingredient Name (INCI) designated by The Cosmetic, Toiletry and Fragrance Association (CTFA), Washington DC, for vitamin A. Other suitable vitamins and the INCI names for the vitamins considered included herein are RETINYL ACETATE, RETINYL PALMITATE, RETINYL PROPIONATE, α -TOCOPHEROL, TOCOPHERSOLAN, TOCOPHERYL ACETATE, TOCOPHERYL LINOLEATE, TOCOPHERYL NICOTINATE and TOCOPHERYL SUCCINATE.

[0053] Water-soluble vitamins useful herein include Vitamin C, Vitamin B₁, Vitamin B₂, Vitamin B₆, Vitamin B₁₂, niacin, folic acid, biotin and pantothenic acid. Other suitable water-soluble vitamins and the INCI names for the vitamins considered included herein are ASCORBYL DIPALMITATE, ASCORBYL METHYLSILANOL PECTINATE, ASCORBYL PALMITATE and ASCORBYL STEARATE. The water-soluble vitamin, like the oil-soluble vitamin, can be used in the composition according to the invention in amounts of from 0.01 to 50 percent by weight.

[0054] Some examples of commercially available products suitable for use herein are Vitamin A Acetate and Vitamin C, both products of Fluka Chemie AG, Buchs, Switzerland; COVI-OX T-50, a vitamin E product of Henkel Corporation, La Grange, Illinois; COVI-OX T-70, another vitamin E product of Henkel Corporation, La Grange, Illinois; and vitamin E Acetate, a product of Roche Vitamins & Fine Chemicals, Nutley, New Jersey.

[0055] Other types of active ingredients may also be used in Steps 3 and 4 of processes according to the invention such as water-soluble or oil-soluble drugs. Representative examples of some suitable water-soluble drugs which can be used are hydrocortisone, ketoprofen, timolol, pilocarpine, adriamycin, mitomycin C, morphine, hydromorphone, diltiazem, theophylline, doxorubicin, daunorubicin, heparin, penicillin G, carbenicillin, cephalothin, cefoxitin, cefotaxime, 5-fluorouracil, cytarabine, 6-azauridine, 6-thioguanine, vinblastine, vincristine, bleomycin sulfate, aurothioglucoase, suramin and mebendazole.

[0056] Representative examples of some suitable oil-soluble drugs which can be used are clonidine, scopolamine, propranolol, estradiol, phenylpropanolamine hydrochloride, ouabain, atropine, haloperidol, isosorbide, nitroglycerin, ibuprofen, ubiquinones, indomethacin, prostaglandins, naproxen, salbutamol, guanabenz, labetalol, pheniramine, metrifonate and steroids.

[0057] Also included herein as a drug for purposes of the present invention, are antiacne agents such as benzoyl peroxide, triclosan and tretinoin; antibacterial agents such as chlorohexadiene gluconate; antifungal agents such as miconazole nitrate; anti-inflammatory agents such as salicylic acid; corticosteroidal drugs; non-steroidal anti-inflammatory agents such as diclofenac; antipsoriasis agents such as clobetasol propionate and retinoids; anesthetic agents such as lidocaine; antipruritic agents; antidermatitis agents; and agents generally considered barrier films.

[0058] The process is carried out stepwise by combining the $\equiv\text{SiH}$ containing siloxane(s), the mono-alkenyl polyether, the α -olefin, the α,ω -unsaturated hydrocarbon, the oil and the platinum catalyst; and mixing these ingredients at room temperature until a gel, elastomer, paste, or emulsion, is formed.

[0059] If desired, the gel, elastomer, paste, or emulsion, can be made to include other active or inactive ingredients, or can be further diluted with additional similar or dissimilar oil(s), to form the final composition. A blend of hexane and tetrahydrofuran, a fragrance, or a low molecular weight siloxane, are examples of oils that could be so employed. Waxes

such as beeswax and candellila wax can also be employed. Higher temperatures to speed up the process can be used.

[0060] If desired, all of the reactants (i.e., the =SiH containing siloxane(s), the mono-alkenyl polyether, the α -olefin, the α,ω -unsaturated hydrocarbon, the oil and the platinum catalyst), can be combined and reacted in one pot. One pot methods are described in U.S. Patent 5,889,108.

[0061] Additional amounts of oil can be added to the gel, i.e., Optional Step 3 and the resulting mixture is subjected to shear force to form the paste. In Step 4, shear force is again used, during or after water is added to the paste, to form a primary emulsion W_1/O . The application of shear force is continued in Step 5, where the primary emulsion W_1/O prepared in Step 4 can be formed into a $W_1/O/W_2$ multiple emulsion.

[0062] Any type of mixing and shearing equipment may be used to perform these steps such as a batch mixer, planetary mixer, single or multiple screw extruder, dynamic or static mixer, colloid mill, homogenizer, sonolator, or a combination thereof.

[0063] Step 3 of the process is an optional step, as noted above. However, when Step 3 is conducted and an oil is included, it should be an oil in which the active ingredient is soluble. This is particularly important where the active ingredient is a vitamin. Some suitable oils include silicone and hydrocarbon based oils. In addition, the oil should satisfy the melting point and the solubility requirements necessary for end uses being contemplated.

[0064] Typically, the process, i.e., Steps 1 and 2, is carried out using approximately a 1:1 equivalent ratio of the =SiH in the =SiH containing siloxane with polyether and higher alkyl groups and the α,ω -unsaturated hydrocarbon. It is expected that useful materials may also be prepared by carrying out the process with an excess of either the =SiH containing siloxane or the α,ω -unsaturated hydrocarbon, but this would be considered a less efficient use of the materials. The remainder of the composition comprises the oil, in amounts generally within the range of 60-98 percent by weight of the composition.

[0065] The most preferred method for preparing compositions according to the invention includes the steps of (i) preparing an elastomeric silicone terpolymer at elevated temperature while mixing; (ii) if required, using an additional oil to solubilize the active ingredient in the case of a vitamin(s), by adding the vitamin(s) to the oil at room temperature while mixing; and (iii) adding the vitamin(s) containing oil slowly to the elastomeric silicone terpolymer at room temperature while mixing.

[0066] In particular, a multiple emulsion $W_1/O/W_2$ which is capable of housing fat and water-soluble active ingredients side by side in the inner phases of the emulsion, can be prepared by (i) first producing an inner emulsion, i.e., a primary emulsion W_1/O , and (ii) then adding the inner or primary emulsion W_1/O to the outer aqueous phase W_2 using a minimum amount of mixing energy.

[0067] In forming a primary emulsion W_1/O , it is preferred to use 0.1 to 99 percent by weight of the aqueous phase W_1 , which amount includes the weight of any water-soluble active ingredient such as a vitamin(s), which may be carried therein. The oil phase O of primary emulsion W_1/O is used in an amount of 1 to 99.9 percent by weight, which amount includes the weight of the elastomeric silicone terpolymer, any other oil, oil-soluble vitamin(s), or fat-soluble active ingredient included therein.

[0068] A multiple emulsion $W_1/O/W_2$ can then be prepared by simply mixing together 0.1 to 70 percent by weight of the primary emulsion W_1/O , with 30 to 99.9 percent by weight of the aqueous final continuous phase W_2 , which latter amount includes the weight of any additional water-soluble ingredient(s) contained in the final continuous phase.

[0069] The following examples are set forth in order to illustrate this invention in more detail.

Example 1 - Process for Making Elastomeric Silicone Terpolymers

[0070] In this example, an ESCO EL-1 processor mixer was employed. The processor mixer was equipped with a one liter jacketed glass container having heating and cooling capability, an anchor sweep blade with speed control settings of 20-300 rpm (2-31 rad/s), a high speed homogenizer with Cowles type blades, speed controls for 750-15,000 rpm (78-1,570 rad/s) operation, a temperature gauge, a product inlet, a vacuum connection and a circulation bath with heating and cooling capacity. The several starting materials used in preparing elastomeric silicone terpolymers according to this example are listed below.

1. The =SiH siloxane was a copolymer corresponding to the formula $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_{77}(\text{MeHSiO})_{20}\text{SiMe}_3$ in which Me represents methyl. In this copolymer, there are twenty (20) reactive sites available for reaction or crosslinking. Table 1 summarizes how the twenty sites were consumed.
2. The α,ω -unsaturated hydrocarbon was 1,5-hexadiene.
3. The mono-alkenyl polyethers had a chain length of 7 and 12, respectively, and were compositions corresponding to the general formulas $\text{CH}_2=\text{CH}(\text{CH}_2)\text{O}(\text{CH}_2\text{CH}_2\text{O})_7\text{H}$ and $\text{CH}_2=\text{CH}(\text{CH}_2)\text{O}(\text{CH}_2\text{CH}_2\text{O})_{12}\text{H}$.
4. The oil consisted of a cyclic siloxane species decamethylcyclopentasiloxane, i.e., D_5 .
5. The catalyst was a platinum divinyltetramethyldisiloxane complex containing one weight percent of platinum carried in a solvent, i.e., Karstedt's catalyst.

6. The post cure quenching agent was a dimethylvinylsiloxy terminated disiloxane of the formula $(\text{CH}_3)_2\text{H}_2\text{C}=\text{CHSi}-\text{OSiCH}=\text{CH}_2(\text{CH}_3)_2$.

7. The α -olefins were C_{12} and C_{18} α -olefins having the formulas $\text{CH}_2=\text{CH}(\text{CH}_2)_9\text{CH}_3$ and $\text{CH}_2=\text{CH}(\text{CH}_2)_{15}\text{CH}_3$, respectively.

[0071] An elastomeric silicone terpolymer was prepared by adding the $=\text{SiH}$ siloxane, the mono-alkenyl polyether and 84-95 percent by weight of D_5 , to the ESCO EL-1 processor mixer. After loading the materials into the mixer container, the mixer was closed. Heating of the mixer was initiated by setting the circulatory bath set point to 70°C . The speed of the sweep blade of the mixer was activated to 25-30 percent of its full capacity and the speed of the homogenizer of the mixer was activated to 5 percent of its full capacity. The platinum catalyst was added to the mixer via a syringe inserted through the port hole of the mixer and the timer was started. Mixing was continued for 30 minutes. The α -olefin was then added and mixing of the contents in the container was continued for a minimum of another 15 minutes. Using an analytical balance, the α,ω -unsaturated hydrocarbon, i.e., 1,5-hexadiene, was weighed into a vial along with 10-20 g of decamethylcyclopenta-siloxane (D_5) and the vial was capped. The remaining portion of D_5 was weighed and placed in a beaker.

[0072] Because of the volatility characteristics of 1,5-hexadiene, care was taken when adding it to the reaction mixture. The homogenizer was turned off and the speed of the scraper was reduced to 5 percent of its full capacity. The inlet plug of the ESCO processor mixer was removed and a funnel with an extended stem, was inserted into the port hole of the inlet, so that the stem reached below the surface of the liquid in the container. The α,ω -unsaturated hydrocarbon, i.e., 1,5-hexadiene, was mixed with a portion of decamethylcyclopentasiloxane, and poured into the funnel, followed by the addition of the remaining portion of decamethylcyclopentasiloxane. When the contents in the funnel had been added, the funnel was removed, the inlet was closed and the timer was restarted.

[0073] The speed of the scraper blade was increased to 15-20 percent of its full capacity and the speed of the homogenizer was increased to 5 percent of its full capacity. The fluid in the mixer container began forming a gel, evidenced by material in the container thickening and climbing up the shaft of the mixer. The time of this occurrence of gelation was noted in a log book and mixing of the contents was continued. The speeds of both the homogenizer and the scraper were reset to 10-15 percent of their full capacity, depending upon the rigidity of the gel present in the container. The total time of mixing measured from the point of addition of the platinum catalyst was a minimum of 3 hours at a constant temperature of 70°C . At the end of this time, the set point of the mixer circulatory bath was lowered to 25°C . and mixing was continued. The post cure quenching agent was added, followed by dilution of the contents of the mixer container with decamethylcyclopentasiloxane.

[0074] Using the above procedure, three silicone elastomeric terpolymers A, B and C, were prepared, each having characteristics as shown below in Table 1.

Table 1

| Terpolymers Prepared in Example 1 | | | | | |
|-----------------------------------|-----------------|--|---------------------|---|------------------|
| Silicone Elastomeric Terpolymer | Olefin Group | Equivalents of $=\text{SiH}$ reacted with olefin | Ethylene Oxide Unit | Equivalents of $=\text{SiH}$ reacted with EO unit | # of Cross Links |
| A | C_{12} | 14 | 7 | 1 | 5 |
| B | C_{18} | 13 | 12 | 2 | 5 |
| C | C_{18} | 13 | 7 | 2 | 5 |

[0075] As noted previously, the $=\text{SiH}$ siloxane copolymer used in Example 1, i.e., $\text{Me}_3\text{SiO}(\text{Me}_2\text{SiO})_{77}(\text{MeHSiO})_{20}\text{SiMe}_3$, has twenty (20) reactive sites which are available for reaction with sites in other compositions and/or crosslinking with sites in other molecules. In Table I, and using Silicone Elastomeric Terpolymer A as an example, it can be seen that 14 equivalents of the $=\text{SiH}$ reactive sites in the copolymer were reacted with the olefin portion of the terpolymer and 1 equivalent of the $=\text{SiH}$ reactive sites in the copolymer was reacted with the ethylene oxide portion of the terpolymer. The remaining 5 equivalents of the total of 20 equivalents of $=\text{SiH}$ reactive sites available in the copolymer were reacted by crosslinking with 1,5-hexadiene, which occurred between and among the molecules in forming a gelled three-dimensional network.

Example 2 - Preparation of a Water-in-Oil (W/O) Emulsion Containing Mineral Oil & Sunflower Oil

[0076] 5.0 g of silicone elastomeric terpolymer B prepared in Example 1 were loaded into a glass beaker containing 10.0 g of mineral oil and 10.0 g of sunflower oil. The interfacial tension (IFT) of the sunflower oil used in this example was 19.3 mN/m. The silicone elastomeric terpolymer B and the oils were mixed with a mechanical mixer at 600 rpm (63 rad/s). After 5 minutes of mixing, the addition of water was begun and 75.0 g of deionized water were added over a 15 minute period using a peristaltic pump. Upon completion of addition of water, the emulsion was mixed for another 5 minutes. A smooth white cream resulted that was stable.

Comparative Example 1 - Preparation of a W/O Emulsion Containing Mineral Oil & Sunflower Oil

[0077] 5.0 g of a solution containing 9 percent by weight of an elastomeric silicone polyether having a 1:5 ratio of (EO)₁₂:crosslinks in decamethylcyclopentasiloxane (D₅), but not having any higher alkyl containing units in its molecule, were loaded into a glass beaker containing 10.0 g of mineral oil and 10.0 g of sunflower oil. The elastomeric silicone polyether was of the type described in detail in US Patent 5,811,487. The elastomeric silicone polyether and the oils were mixed with a mechanical mixer at 600 rpm (63 rad/s). After 5 minutes of mixing, water addition was begun and 75.0 g of deionized water were added over a 15 minute period using a peristaltic pump. Upon completion of the water addition, the emulsion was mixed for another 5 minutes. The resulting emulsion was a white cream, but the cream was not stable. This was evidenced by the observation of small drops of a clear liquid, i.e., water, that formed on the surface of the emulsion. This example shows the negative effect of omitting higher alkyl substitutions in elastomeric silicone polymers.

Comparative Example 2 - Preparation of a W/O Emulsion Containing Sunflower Oil

[0078] Comparative Example 1 was repeated, except that 2.0 g of the elastomeric silicone polyether were used. No mineral oil was added but instead 25.0 g of sunflower oil was used. 73.0 g of deionized water were added over the 15 minute period. It was found that the water and the oil were not completely emulsified. While an emulsion was formed, it was not able to contain all of the oil and water. As a consequence, the oil and the water separated into their own phases. Again, this example shows the negative effect of omitting the higher alkyl substitution in the elastomeric silicone polymer.

Example 3 - Preparation of a W/O Emulsion Using Mineral Oil and Arlamol E

[0079] 5.0 g of silicone elastomeric terpolymer B prepared in Example 1 were loaded into a glass beaker containing 10.0 g of mineral oil and 10.0 g of Arlamol E. The Arlamol E used in this example had an interfacial tension of 4.8 mN/m. Arlamol E is a tradename and a product sold by ICI Surfactants, Wilmington, Delaware. It is a polar organic oil described generally as the polypropylene glycol ether of stearyl alcohol, i.e., polyoxypropylene (15) stearyl ether. The silicone elastomeric terpolymer B, the oil and the surfactant, were mixed with a mechanical mixer at 600 rpm (63 rad/s). After 5 minutes of mixing, water addition was begun and 75.0 g of deionized water were added over a 20 minute period using a peristaltic pump. Upon completion of addition of water, the emulsion was mixed for another 5 minutes. The resulting emulsion was a stable smooth white cream.

Example 4 - Preparation of a W/O Emulsion Using Arlamol E

[0080] 5.0 g of silicone elastomeric terpolymer B prepared in Example 1 were weighed into a glass beaker containing 10.0 g of Arlamol E. The silicone elastomeric terpolymer B and Arlamol E were mixed with a mechanical mixer at 600 rpm (63 rad/s). Deionized water was added to the mixture using a peristaltic pump until it appeared that no more water could be emulsified. A total of 58.46 g of deionized water were added over a period of 15 minutes. The resulting emulsion was stable and white in appearance.

Example 5 - Compatibility and Clarity of Silicone Elastomeric Terpolymer with Mineral Oil

[0081] 10.0 g of silicone elastomeric terpolymer B prepared in Example 1 were weighed into a glass vial along with 10.0 g of mineral oil. Silicone elastomeric terpolymer B and mineral oil were stirred by hand and shaken until a uniform mixture had been obtained. A clear liquid resulted. It is noted that, in its neat form, the silicone elastomeric terpolymer B is a translucent white liquid. The addition of mineral oil to the silicone elastomeric terpolymer B in this example had the beneficial effect of rendering the silicone elastomeric terpolymer B more clear.

Example 6 - Entrapment of Vitamin A Acetate

[0082] 50.0 g of the silicone elastomeric terpolymer B prepared in Example 1 were weighed into a glass beaker and mixed with a mechanical mixer at 400 rpm (42 rad/s) rpm. Vitamin A Acetate, a product of Fluka Chemie AG, Buchs, Switzerland, was added to silicone elastomeric terpolymer B, dropwise with a pipette. Upon its initial contact with vitamin A acetate, the silicone elastomeric terpolymer B became a clear-yellow liquid. Vitamin A acetate continued to be added to the silicone elastomeric terpolymer B until the degree of clarity decreased, at which point addition of the vitamin was stopped. A total of 13.91 g, i.e., 21.8 percent of the overall weight, of vitamin A acetate were added over a period of 15 minutes. The resulting material was a slightly hazy yellow liquid.

Example 7 - Preparation of a Propylene Glycol-in-Oil Emulsion

[0083] 50.0 g of the silicone elastomeric terpolymer B prepared in Example 1 were weighed into a glass beaker and mixed at 600 rpm (63 rad/s) with a mechanical mixer. To the beaker, 42.5 g of propylene glycol were added with a pipette over a period of 15 minutes. The resulting material was a semi-translucent white emulsion.

Example 8 - Preparation of a Multiple Emulsion $W_1/O/W_2$

[0084] In this example, two coexisting emulsions were prepared as a final product material. One of the emulsions can be designated O'/W_2 , while the other emulsion can be designated as the $W_1/O/W_2$ multiple emulsion.

[0085] Part A. Preparation of one of the oil phases (O'). 10 g of stearic acid, a product of Witco Corporation, New York, New York, sold under the tradename HYSTRENE FG, was weighed into a glass beaker, along with 10 g of glycerol monostearate and polyoxyethylene stearate, a nonionic surfactant sold under the tradename ARLACEL 165 by ICI Surfactants, Wilmington, Delaware, and 25 g of petrolatum, a semisolid petroleum jelly used as an emollient and sold under the tradename WHITE PROTOPET by Witco Corporation, New York, New York. The purpose of stearic acid in this example was to act as an anionic surfactant, in addition to the nonionic surfactant ARLACEL 165, in order to emulsify petroleum jelly in the O'/W_2 emulsion. As these components are solid, they were placed in a hot water bath at 80°C. in order to melt.

[0086] Part B. Preparation of one of the water phases (W_2). 50 g of a one percent by weight dispersion containing a crosslinked polyacrylic acid polymer, a thickener otherwise generally known as carbomer, sold under the tradename CARBOPOL EDT 2001, by B. F. Goodrich Company, Brecksville, Ohio, were weighed into another beaker containing 308.5 g of hot deionized water. This beaker was placed in a hot water bath and mixed with a mechanical mixer at 200 rpm (21 rad/s).

[0087] Part C. Preparation of the other water phase (W_2). 5.0 g of triethanolamine were weighed into a glass beaker with 50 g of deionized water at 70°C. The solution was mixed by hand using a glass stir rod until it was uniform. The purpose of triethanolamine in this example was to function as a neutralizing agent for the acidic carbomer thickener.

[0088] Part D. The primary emulsion (W_1/O). The unblended portion of Example 4 was used as the primary emulsion.

[0089] When Part A had been uniformly melted, the emulsion O'/W_2 was prepared by pouring Part A into Part B and mixing Parts A and B at 200 rpm (21 rad/s) for 5 minutes. The emulsion O'/W_2 was then neutralized with Part C (W_2) and mixed for five additional minutes. During neutralization, the speed of the mixer was gradually increased from 200 to 350 rpm (21-37 rad/s) to ensure adequate mixing. The sample was removed from the hot water bath and allowed to cool to 55°C. while mixing at 350 rpm (37 rad/s). When the temperature of the sample had reached 55°C., 25 g of Part D was added. Mixing was continued and the sample was allowed to cool to 50°C. After cooling, 1.5 g of DMDM hydantoin (1,3-dimethylol-5,5-dimethyl hydantoin) and additional deionized water lost due to evaporation, were added to the sample and it was mixed for 5 minutes.

[0090] The resulting material was a smooth white cream in emulsion form that was stable. Examination of the product by optical microscope confirmed the presence and coexistence in the final product of the multiple emulsion $W_1/O/W_2$. This example demonstrates one practical procedure for making multiple or triple emulsions using elastomeric silicone terpolymers of the invention.

Example 9 - Preparation of a Propylene Glycol-in Oil-in Water Multiple Emulsion

[0091] A hand and body lotion was prepared in this example using the procedure as set forth in Example 8, but with the primary emulsion from Example 7. The resulting material in this example was similar to the hand and body lotion prepared in Example 8, in that it was in the form of a stable smooth white cream.

Example 10 A - Preparation of a Water-in-Wax Emulsion

[0092] The equipment used in this example included a 250 ml beaker; a Lightning brand L1 U08 digital mixer which was equipped with a high shear radial flow impeller having a four blade pitched impeller blade spaced 1 cm from its center; a hot water bath and an oven both set to a temperature of 70°C. The ingredients used to make the emulsion in this example were the silicone elastomeric terpolymer B shown in Table 1, white beeswax and deionized water. The beeswax and the deionized water were preheated in the oven at 70°C. 10.0 g of beeswax were weighed into the glass beaker along with 5.0 g of the silicone elastomeric terpolymer B. The beaker was placed in the water bath and silicone elastomeric terpolymer B and the beeswax were mixed together using the Lightning mixer at 600 rpm (63 rad/s). Water was then added to the beaker over a period of 20 minutes using a pipette. The emulsion prepared in this example was a firm white cream which remained stable.

Example 10 B - Emulsification of Candelilla Wax

[0093] Example 10 A was repeated except that candelilla wax was used instead of beeswax, and the temperature of the hot water bath and the oven were both set at a temperature of 90°C. instead of at 70°C. The material resulting from this example was a hard beige wax.

[0094] It should be noted that other types of reactive compositions can be used in preparing elastomeric silicone terpolymers herein without departing from the spirit of the invention.

[0095] For example, one can prepare elastomeric silicone terpolymers by reacting the mono-alkenyl polyether and the α -olefin with the following other types of reactive compositions, instead of using the particular \equiv Si-H containing polysiloxanes and α,ω -unsaturated hydrocarbons enumerated above:

$ZMe_2SiO(Me_2SiO)_r(MeHSiO)_sSiMe_2Z$ and

$QMe_2SiO(Me_2SiO)_t(MeQSiO)_uSiMe_2Q$

where Me is methyl; Z is CH_3 or H provided there are at least two H atoms per molecule; Q is vinyl or another alpha-unsaturated alkenyl group or CH_3 provided there are at least two carbon-carbon double bonds per molecule; r is 0-1,000; s is 0-100; t is 0-1,000; and u is 0-100.

[0096] One can also prepare elastomeric silicone terpolymers by reacting the mono-alkenyl polyether and α -olefin with the following types of reactive compositions, instead of using the particular \equiv Si-H containing polysiloxanes and α,ω -unsaturated hydrocarbons enumerated above: $(RMe_2SiO_{1/2})_v(SiO_{4/2})_w(RSiO_{3/2})_x(RMeSiO_{2/2})_y$ and $QMe_2SiO(Me_2SiO)_z(MeQSiO)_\lambda SiMe_2Q$ where Me is methyl; R is methyl or H provided there are at least two H atoms per molecule; Q is vinyl or another alpha-unsaturated alkenyl group or methyl provided there are at least two carbon-carbon double bonds per molecule; v is 2-50; w is 0-20; x is 0-50; y is 0-1,000; z is 0-1,000; and λ is 0-100.

[0097] The compositions according to this invention have particular value in the personal care industry. They can be used alone, or blended with other cosmetic ingredients, to form a variety of over-the-counter (OTC) personal care products.

[0098] Thus, they are useful as carriers in antiperspirants and deodorants. They are lubricious and can improve the properties of skin creams, skin care lotions, moisturizers, facial treatments such as acne or wrinkle removers, personal and facial cleansers, bath oils, perfumes, colognes, sachets, sunscreens, pre-shave and after-shave lotions, liquid soaps, shaving soaps and shaving lathers. They can be used in hair shampoos, hair conditioners, hair sprays, mousses, permanents, depilatories and cuticle coats, to enhance gloss and provide conditioning benefits.

[0099] In cosmetics, they can function as leveling and spreading agents for pigments in make-ups, color cosmetics, foundations, blushes, lipsticks, lip balms, eyeliners, mascaras, oil removers, color cosmetic removers and powders. When incorporated into sticks, gels, lotions, aerosols and roll-ons, the compositions can impart a dry, silky-smooth, pay-out.

[0100] In addition, the compositions exhibit other advantageous and beneficial properties such as shelf stability and ease of preparation. Hence, they can have wide application, but especially in antiperspirants, deodorants, skin care products and for conditioning hair.

[0101] Further, the compositions are capable of functioning as carriers for pharmaceuticals, biocides, herbicides, pesticides and other biologically active substances; and they can be used to incorporate various water and water-soluble substances into hydrophobic systems.

[0102] Finally, the compositions have utility as additives for cellulosic or synthetic nonwoven carrier substrates used in wet-like cleansing wipes such as wet-wipes, tissues and towels, marketed generally for personal hygiene and household cleaning tasks.

Claims

1. A composition comprising an elastomeric silicone terpolymer in the form of a three-dimensional gelled network

obtainable by a method comprising combining and reacting:

(A) a $\equiv\text{Si-H}$ containing polysiloxane of the formula $\text{R}_3\text{SiO}(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b\text{SiR}_3$ or the formula $(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b$, and optionally a $\equiv\text{Si-H}$ containing polysiloxane of the formula $\text{HR}_2\text{SiO}(\text{R}'_2\text{SiO})_c\text{SiR}_2\text{H}$ or a $\equiv\text{Si-H}$ containing polysiloxane of the formula $\text{HR}_2\text{SiO}(\text{R}'_2\text{SiO})_a(\text{R}''\text{HSiO})_b\text{SiR}_2\text{H}$, where R, R' and R'' are alkyl groups with 1-6 carbon atoms, a is 0-250, a' is 0-7, b is 1-250, b' is 3-10 and c is 0-250;

(B) a mono-alkenyl polyether of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_f\text{O}(\text{CH}_2\text{CH}_2\text{O})_g(\text{CH}_2\text{CH}_3\text{CHO})_h\text{T}$, or the formula $\text{CH}_2=\text{CH-Q-O}(\text{CH}_2\text{CH}_2\text{O})_g(\text{CH}_2\text{CH}_3\text{CHO})_h\text{T}$, where T is a hydrogen atom, a $\text{C}_1\text{-C}_{10}$ alkyl group, an aryl group, or a $\text{C}_1\text{-C}_{20}$ acyl group; Q is a divalent linking group containing unsaturation; f is 0-6, g is 4-100; and h is zero or 1-100;

(C) an α -olefin containing at least ten carbon atoms;

(D) an α,ω -unsaturated hydrocarbon selected from α,ω -dienes of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_d\text{CH}=\text{CH}_2$, α,ω -diynes of the formula $\text{CH}=\text{C}(\text{CH}_2)_e\text{C}\equiv\text{CH}$ and α,ω -ene-yne of the formula $\text{CH}_2=\text{CH}(\text{CH}_2)_e\text{C}\equiv\text{CH}$, where d is 1-20 and e is 0-20; and

(E) a platinum catalyst; in the presence of

(F) an oil selected from (i) organic compounds, (ii) compounds containing a silicon atom, (iii) mixtures of organic compounds, (iv) mixtures of compounds containing a silicon atom, and (v) mixtures of organic compounds and compounds containing a silicon atom.

2. A composition according to claim 1 in which the oil is a linear volatile methyl siloxane of the formula $(\text{CH}_3)_3\text{SiO}\{(\text{CH}_3)_2\text{SiO}\}_k\text{Si}(\text{CH}_3)_3$ where k is 0-5, or a cyclic volatile methyl siloxane of the formula $\{(\text{CH}_3)_2\text{SiO}\}_m$ where m is 3-8, the volatile methyl siloxane have a boiling point less than 250°C . and a viscosity of $0.65\text{-}5.0\text{ mm}^2/\text{s}$.
3. A material comprising the composition according to claim 1 or 2 and an oil, wax, or oil-soluble active ingredient.
4. A material comprising the composition according to claim 1 or 2 and a diol or water-soluble active ingredient.
5. An emulsion containing the material according to claim 3 or claim 4.
6. A multiple emulsion containing the material according to claim 3 or claim 4.
7. A method for the cosmetic treatment of hair, skin or underarm which comprises applying a composition according to claim 1 or 2.
8. A cellulosic or synthetic nonwoven carrier substrate provided with a coating comprising a composition according to claim 1 or 2.

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 1 048 686 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
04.07.2001 Bulletin 2001/27

(51) Int Cl.7: **C08G 77/46, C08G 77/50,
A61K 7/06, A61K 7/48**

(43) Date of publication A2:
02.11.2000 Bulletin 2000/44

(21) Application number: **00302192.0**

(22) Date of filing: **17.03.2000**

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**
Designated Extension States:
AL LT LV MK RO SI

- Schulz, William James jr.
Midland, Michigan 48642 (US)
- Smith, Janet Mary
Bay City, Michigan 48706 (US)

(30) Priority: **28.04.1999 US 299864**

(71) Applicant: **DOW CORNING CORPORATION**
Midland Michigan 48686-0994 (US)

(74) Representative: **Kyle, Diana**
Elkington and Fife
Prospect House
8 Pembroke Road
Sevenoaks, Kent TN13 1XR (GB)

(72) Inventors:
• Lin, Zuchen
Midland, Michigan 48640 (US)

(54) **Elastomeric silicone terpolymer.**

(57) Three-dimensional silicone elastomers are disclosed having both polyether and higher alkyl functionality present in their molecules are in the form of networks of crosslinked linear siloxanes with polyether and olefin containing repeating units. Representative units

are EO₇ and EO₁₂ for the polyether functionality and C₁₀, C₁₂ and C₁₈ alkyl functionality for the olefin. These gelled molecules are multipurpose type materials and consequently they are capable of being useful in a variety of multiphased formulations in personal and health care applications.

EP 1 048 686 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 00 30 2192

| DOCUMENTS CONSIDERED TO BE RELEVANT | | | |
|--|--|--|--|
| Category | Citation of document with indication, where appropriate, of relevant passages | Relevant to claim | CLASSIFICATION OF THE APPLICATION (Int.Cl.7) |
| A | EP 0 869 142 A (DOW CORNING) 7 October 1998 (1998-10-07) * page 2, line 3 - page 6, line 58; claims * | 1-8 | C08G77/46 C08G77/50 A61K7/06 A61K7/48 |
| A | EP 0 537 003 A (GEN ELECTRIC) 14 April 1993 (1993-04-14) * claims * | 1-8 | |
| A | EP 0 848 029 A (DOW CORNING) 17 June 1998 (1998-06-17) * page 2, line 3 - page 8, line 16; claims * | 1-8 | |
| | | | TECHNICAL FIELDS SEARCHED (Int.Cl.7) |
| | | | C08G A61K |
| The present search report has been drawn up for all claims | | | |
| Place of search MUNICH | | Date of completion of the search 7 May 2001 | Examiner Kolitz, R |
| CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document | | | |

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 30 2192

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.
The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

07-05-2001

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---------------------|----------------------------|---------------------|
| EP 0869142 A | 07-10-1998 | US 5880210 A | 09-03-1999 |
| EP 0537003 A | 14-04-1993 | CA 2077828 A | 11-04-1993 |
| | | DE 69223896 D | 12-02-1998 |
| | | DE 69223896 T | 23-07-1998 |
| | | ES 2111053 T | 01-03-1998 |
| | | JP 5222200 A | 31-08-1993 |
| | | US 5401870 A | 28-03-1995 |
| | | US 5451692 A | 19-09-1995 |
| EP 0848029 A | 17-06-1998 | US 5811487 A | 22-09-1998 |
| | | CN 1185314 A | 24-06-1998 |
| | | JP 10176059 A | 30-06-1998 |